SP02-034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jan Conradi

Examiner:

Serial No:

Group Art Unit:

Filed:

For:

UNIPOLAR ELECTRICAL TO BIPOLAR

OPTICAL CONVERTER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Asst. Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

uliana Agon

Registration No. 33,468 Corning Incorporated

SP-TI-03-1

Corning, NY 14831

(607) 974-6574

Date: February 15, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231

February 15, 2002 Date of Deposit

Juliana Agon

Name of applicant, assignee, or

Registered Representative

Signáture

February 10, o

Revision: March 7, 2000

Document 11

ATTORNEY DOCKET NO. SERIAL NO. FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND SP02-034 **PUBLICATIONS** FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT APPLICANT Conradi et al. GROUP: **FILING DATE** REFERENCE DESIGNATION U.S. PATENT DOCUMENTS Filing Date Examiner **Document Number** Date Class Subif Approp. Name Class Initial 4/29/97 5,625,722 Froberg, et al 385 AA 1 6.072,615 183 AB 6/6/00 Mamyshev 385 6,188,497 B1 2/13/01 Franck, et al 359 181 AC $\overline{\mathrm{AD}}$ US 2001/0017724A1 8/30/01 Miyamoto, et al 359 158 AE ĀF ĀG AH ΑĬ AJ AK FOREIGN PATENT DOCUMENTS **Document Number** Date Class Translation Country Sub-Class Yes 62-220030 9/28/87 AL Japan AM 0977382A2 2/2/00 EP 12/13/00 AN 1059758A2 EP AO AP A_Q OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) Digital Transmission Systems, 2nd. Edition VanNostrand Reinhold, New York, 1993 pgs. 276-281 AR "170km transmission of 20 Gh_z spaced optical duobinary signals of 2³¹ - 1 PRBS length" Chen, et al. AS Electronics Letters, Vol. 36, No. 21, 10/12/00 "320 Gbits/s (8 x 40 Gbit/s) WDM transmission over 367 km with 120 km repeater spacing using carrier-AT suppressed return-to-zero format", Miyamoto, et al, Electronics Letters, Vo. 35, No. 23, 11/11/99 "32 x 20 Gb/s Transmission over Trans-Atlantic Distance (6,200 km) with 31% Spectral Efficiency," AU Nissov, et al Typco Submarine Systems, Letd. PD30-1/257 AV AW **EXAMINER:** DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.